GDPR (EU General Data Protection Regulation)-Based Privacy Policy of the National University Corporation University of Tsukuba

February 4, 2021
Determination of the President
Amendment: March 24, 2022
May 31, 2022
March 6, 2025

This privacy policy has been established by the National University Corporation University of Tsukuba (referred to as "the Corporation" below, with the exception of Article 1 below) in response to the GDPR in order to set forth policies related to the processing of personal data and its transfer to the territory of third countries or organizations in third countries (referred to as "the extraterritorial transfer") of data subjects who are located in countries to which the GDPR is applicable (regardless of nationality or residency.)

1 Definitions

The definitions of terms used in this Privacy Policy are provided in the table below.

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Terms	Definitions
GDPR	General Data Protection Regulation: EU General Data Protection
	Regulation No. 2016/679.
Countries to	This refers to the countries that make up the European Economic Area
which the	(the member countries of the EU, the Republic of Iceland, the
GDPR is	Principality of Liechtenstein, and the Kingdom of Norway) and the
Applicable	United Kingdom of Great Britain and Northern Ireland.
	* In the UK, United Kingdom General Data Protection Regulation (UK
	GDPR), which came into effect on January 1, 2021, applies. The basic
	contents of the UK GDPR, including the obligations of the controller,
	are the same as those of the GDPR
Data Subject	This refers to an identified or identifiable natural person. "Identifiable
	natural person" refers to a person who can be identified directly or
	indirectly in particular by reference to an identifier such as a name,
	identification number, location data or an online identifier (such as an
	IP address, cookie, or MAC address) or by reference to one or more
	factors specific to the physical, physiological, genetic, mental,
	economic, cultural or social identity of that natural person.
Personal Data	Any information relating to an identified or identifiable natural person
	('data subject').
Processing	Any operation or set of operations which is performed on personal data
	or on sets of personal data, whether or not by automated means, such
	as collection, recording, organization, structuring, storage, adaptation

	or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or
	combination, restriction, erasure or destruction.
Restriction of	The marking of stored personal data with the aim of limiting their
Processing	processing in the future.
Profiling	Any form of automated processing of personal data consisting of the
	use of personal data to evaluate certain personal aspects relating to a
	natural person, in particular to analyze or predict aspects concerning
	that natural person's performance at work, economic situation, health,
	personal preferences, interests, reliability, behavior, location or
	movements.
Controller	The natural or legal person, public authority, agency or other body
	which, alone or jointly with others, determines the purposes and means
D	of the processing of personal data.
Processor	A natural or legal person, public authority, agency or other body which processes personal data on behalf of the controller.
Third Party	A natural or legal person, public authority, agency or body other than
	the data subject, controller, processor and persons who, under the
	direct authority of the controller or processor, are authorized to process
	personal data.
Consent of the	Any freely given, specific, informed and unambiguous indication of the
Data Subject	data subject's wishes by which he or she, by a statement or by a clear
	affirmative action, signifies agreement to the processing of personal
	data relating to them.
Adequacy	A decision by the European Commission in accordance with Article 45
Decision	of the GDPR that a country or territory ensures an adequate level of
	protection.
	* When such a decision has been made, the extraterritorial transfer of
	personal data is possible without any special procedures and Japan
	received an adequacy decision in January of 2019
	* The handling of personal information by government agencies and
	incorporated administrative agencies, etc. will also be centrally
	stipulated within the framework of the amended Japanese Act on the
	Protection of Personal Information (Act No. 57 of 2003) which
	entered into force from April 1, 2022. As a result, national university
	corporations, which had previously been exempted from the
	adequacy decision framework, are now subject to the adequacy
	decision framework, except for the extraterritorial transfer of
	personal data handled for the purpose of academic research.
Supplementar	Supplementary Rules under the act on the protection of personal

y Rules

information for the handling of personal data transferred from the EU and the United Kingdom based on an adequacy decision (Notice of the Personal Information Protection Commission No. 4, 2018).

* A complementary discipline established by the Personal Information Protection Commission of Japan based on Article 6 of the Act on the Protection of Personal Information in Japan to ensure the level of protection with respect to the handling of personal information transferred through an adequacy decision and which should be observed as a minimum in addition to Japanese laws and guidelines regarding the protection of personal information.

2 Contact Information of the Controller

Name: National University Corporation University of Tsukuba

Address: 1-1-1 Tennodai, Tsukuba, Ibaraki E-Mail Address: <u>sk.houmu@un.tsukuba.ac.jp</u>

* Contact details of the departments handle each personal information are provided in the consent form.

3 Use of Cookies and other analytical tools

(1) A cookie is a small text file that is written to your hard disk when you visit a website. This text file stores information that can be read by the site operator when you visit the website again at a later date.

In order to provide better service to visitors to the main site of the Corporation and other websites of the Corporation (excluding external websites linked to such websites. hereinafter referred to as the "Official Website"), the Corporation may use cookies and similar web tracking technologies (web beacons, pixels, etc.) for the following purposes.

- A. To store login status and use it for visitor authentication, session maintenance, and security measures.
- B. To improve convenience by remembering the contents of language and other settings and the date and time of the last visit.
- C. To provide better services by understanding the number of visits and usage patterns, etc.

These features are established to provide services suitable for Official Website visitors, but unless the Official Website visitor enters personal information, the Official Website visitor cannot be identified, and the Official Website visitor remains anonymous. Web beacons are also used to count the number of pages viewed by Official Website visitors to generate statistical data, and do not have the ability to identify specific individuals.

In addition, visitors to the official website can control whether or not to accept cookies by using one or both of the following methods.

- A. When the Cookie Consent banner is displayed, it is possible to disable cookies that are not essential to the functionality of the website by selecting "Reject".
- B. It is possible to disable cookies using your browser settings or other tools.

However, if visitors to the Official Websites disable cookies or restrict the ability to set cookies, they may not be able to access all or some of the features of the Official Websites,

- thereby limiting the overall convenience of the Official Website visitors.
- (2) The official website uses Google Analytics for the sole purpose of measuring and analyzing visitor traffic. The Corporation and Google will not obtain personally identifiable information, and data will be aggregated anonymously. The data collected by Google Analytics is managed in accordance with Google's privacy policy.
- 4 Duties Subject to the Processing of Personal Data

For the types of personal data listed below, the Corporation may only carry out the processing of that personal data in order to carry out duties established for that particular personal data.

- (1) The personal data of persons applying (including persons whose admission has been approved; referred to as "applicants for admission" in the introductory clause of the following section) to the University of Tsukuba (including affiliated schools; the same is true for Items 13-F and 13-G below as well)
 - A The selection of admitted applicants
 - B The collection of and exemption from testing fees and admission fees
 - C Contact during emergencies, etc.
 - D Procedures, contact, etc. necessary for other duties
- (2) The personal data of the legal guardians and persons responsible for the educational expenses (this refers to the persons who have primary responsibility for educational expenses; the same is true in the introductory clause of Item 4 below as well) of applicants for admission
 - A The collection of and exemption from testing fees and admission fees
 - B Contact during emergencies, etc.
 - C Procedures, contact, etc. necessary for other duties
- (3) The personal data of students (including children and pupils of affiliated schools; the same is true below)
 - A Educational affairs
 - B Student support
 - C Career support
 - D International exchange
 - E Input into school internal systems and management
 - F Health management
 - G Payment of rewards, travel expenses, etc.
 - H The collection of and exemption from admission fees and tuition
 - I Payment procedures for scholarships, etc.
 - J Procedures related to taxes, etc.
 - K Contact during emergencies, etc.
 - L Surveys
 - M Procedures, contact, etc. necessary for other duties
- (4) The personal data of the legal guardians and guarantors of students and the persons responsible for their educational expenses
 - A The collection of and exemption from admission fees and tuition
 - B Payment procedures for scholarships, etc.

- C Contact during emergencies, etc.
- D Procedures, contact, etc. necessary for other duties
- (5) The personal data of graduated and completed students (referred to as "graduates, etc." in B below)
 - A Certification of graduation or completion, performance, registration, etc.
 - B Contact with graduates, etc.
 - C Advertising
 - **D** Donations
 - E Surveys
 - F Procedures, contact, etc. necessary for other duties
- (6) The personal data of persons who wish to be employed by the Corporation (including persons who have been selected for employment; referred to as "persons who desire employment" in the introductory clause of the following Item)
 - A Screening for employment
 - B Hiring procedures
 - C Contact during emergencies, etc.
 - D Procedures, contact, etc. necessary for other duties
- (7) The personal data of the families (including partners; the same is true below) of persons who desire employment
 - A Contact during emergencies, etc.
 - B Procedures, contact, etc. necessary for other duties
- (8) Personal data of staff
 - A Personnel affairs
 - B Payment of salary, bonuses, travel expenses, etc.
 - C Procedures for benefits
 - D Procedures for the Ministry of Education, Culture, Sports, Science and Technology Mutual Aid Association and the Japan Health Insurance Association
 - E Personnel management
 - F Health management
 - G Contact with temporary transfer destinations and origins
 - H Retirement procedures
 - I Procedures related to taxes, etc.
 - J Input into school internal systems and management
 - K Contact during emergencies, etc.
 - L Surveys
 - M Procedures, contact, etc. necessary for other duties
- (9) Personal data of the families of staff
 - A Procedures for benefits
 - B Procedures for the Ministry of Education, Culture, Sports, Science and Technology Mutual Aid Association and the Japan Health Insurance Association
 - C Procedures related to taxes, etc.
 - D Contact during emergencies, etc.
 - E Procedures, contact, etc. necessary for other duties
- (10) Personal data of donors

- A Issuance of certificates of donation receipt
- B Sending of premiums for donations, etc.
- C Input into school internal systems and management
- D Procedures, contact, etc. necessary for other duties
- (11) Personal data of patients and their families
 - A Healthcare
 - B Input into hospital internal systems and management
 - C Procedures, contact, etc. necessary for other duties
- (12) Personal data of visitors to public websites
 - A Understanding of the use of public websites
 - B Improvement of public websites
 - C Providing services for public websites
 - E Contact, etc. necessary for other duties
- (13) Personal data of persons other than those provided for in the Items above
 - A Contract procedures and management for temporary personnel
 - B Procedures and management related to contracts and invitations related to joint research
 - C Scientific, historical, and statistical processing related to scholarly research
 - D Applications, procedures, etc. for various projects
 - E Procedures and management related to use of the library
 - F Procedures and management related to participation in and invitations to events, etc. held by the Corporation or the University of Tsukuba
 - G Provision of services and information related to events, etc. held by the Corporation or the University of Tsukuba
 - H Payment of rewards, travel expenses, etc. and collection of fees
 - I Procedures related to taxes, etc.
 - J Surveys
 - K Procedures, contact, etc. necessary for other duties

5 Sources of Acquired Personal Data

The Corporation shall obtain personal data from the following sources in order to carry out the duties in the Article above.

- (1) Documents (including electronic records) for which the direct acquisition based on consent from the data subject (from the legal guardian if the data subject is under 16 years of age (or under the age provided for by local law in a country to which the GDPR is applicable and local law makes such a provision))
- (2) Online identifiers such as IP addresses and MAC addresses acquired by the Corporation when the data subject is using an information service of the Corporation or is connected to an information network of the Corporation
- (3) Information that is publicly available on the Internet
- (4) Information from social media
- (5) Signatories of SDPC

When using the services of the Corporation, the data subject must provide certain personal data to the Corporation for the performance of said services. The required personal data are indicated on the relevant form. If such data is not provided, the Corporation will not be able to perform the service to the data subject.

7 Duration of Storage of Personal Data

Personal data shall be stored for a period provided for by the laws and regulations of Japan or the regulations, etc. of the Corporation.

8 Lawfulness of Processing of Personal Data

When it is possible for the Corporation to process personal data lawfully, it shall be done as described below.

- (1) The data subject has given consent to the processing of his or her personal data for one or more specific purposes
- (2) Processing is necessary for the performance of a contract to which the data subject is party or in order to take steps at the request of the data subject prior to entering into a contract
- (3) Processing is necessary for compliance with a legal obligation to which the controller is subject
- (4) Processing is necessary in order to protect the vital interests of the data subject or of another natural person
- (5) Processing is necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller
- (6) Processing is necessary to improve services and ensure business continuity as a legitimate interest pursued by the controller or a third party,, except where such interests are overridden by the interests or fundamental rights and freedoms of the data subject which require protection of personal data

9 Rights of the Data Subject

Data subjects have the rights listed below. If you wish to exercise any of these rights (excluding Item 6), please contact us in advance at the contact point in Article 2 or the contact point indicated in the consent form. However, the exercise of these rights may be restricted in accordance with the GDPR or the Act on the Protection of Personal Information in Japan.

(1) Right of Access

Data subjects may request that the controller provide their own personal data or other information provided for by the GDPR.

(2) Right to Rectification

Data subjects may request that the controller rectify without delay their own personal data when those data are inaccurate.

(3) Right to Erasure (Right to be Forgotten)

Data subjects may request that the controller erase without delay their own personal data when those data are acknowledged to fall under any of the items given below.

A The personal data are no longer necessary in relation to the purposes for which they

were collected or otherwise processed.

- B The data subject has withdrawn consent for processing and there is no other legal ground for the processing.
- C The data subject objects to the processing pursuant to Article 21, Paragraph 1 of the GDPR and there are no overriding legitimate grounds for the processing, or the data subject objects to the processing pursuant to Paragraph 2 of that Article.
- D The personal data have been unlawfully processed.
- E The personal data have to be erased for compliance with a legal obligation in EU or Member State law to which the controller is subject.
- F The personal data have been collected in relation to the offer of information society services referred to in Article 8, Paragraph 1 of the GDPR.

(4) Right to Restriction of Processing

Data subjects may request that the controller restrict the processing of personal data when Article 18, Paragraph 1 of the GDPR is applicable.

(5) Right to Data Portability

The data subject shall have the right to receive the personal data they have provided to the controller in a structured, commonly used, and machine-readable format when Article 20, Paragraph 1 of the GDPR is applicable. In addition, data subjects have the right to transmit those data to another controller without hindrance from the controller to which the personal data have been provided.

(6) Right not to be Subject to Decision-Making based on Automated Processing, including Profiling

The data subject shall have the right not to be subject to a decision based solely on automated processing, including profiling, which produces legal effects concerning them or similarly significantly affects them.

(7) Right to withdraw consent at any time

A data subject may withdraw his or her consent at any time where the processing of his or her personal data is based on his or her consent. However, this shall not affect the lawfulness of the processing based on the consent prior to its withdrawal.

10 Right to object

The data subject shall have the right to object at any time, on grounds relating to their particular situations, to processing of personal data concerning them which is based on Item 5 or 6 of the Article 8, including profiling based on those provisions. If you wish to exercise any of these rights, please contact us in advance at the contact point in Article 2 or the contact point indicated in the consent form.

11 Right to complain to the supervisory authority

The data subject has the right to lodge a complaint with the supervisory authority in the country to which the GDPR applies, in particular in the country where the data subject lives or works or where the alleged breach of the GDPR took place.

12 Provision and Sharing of Personal Data

In order to carry out the duties in Article 4, the Corporation may share personal data

internally, provide them to the third parties listed below, or share them with such third parties, in compliance with the Japanese Act on the Protection of Personal Information and other related laws and regulations.

- (1) Public authorities
- (2) Financial institutions
- (3) Private scholarship foundations
- (4) Medical institutions
- (5) Contractors
- (6) Signatories of the SDPC
- (7) Other organizations related its duties

13 Transfer of Data

(1) Transfer of data from a country that applies the GDPR to JapanPersonal data may be transferred from overseas offices in countries to which the GDPR is applicable (sites providing support for activities supporting international cooperation, etc. for the pursuit of the Corporation's educational and research activities and support for educational and research activities carried out in Japan by educational and research institutions or the like in that country in cooperation with the Corporation) to the Corporation based on an adequacy decision. However, the transfer of personal data for the purpose of academic research is limited to cases where it is stipulated in the SDPC, cases where it falls under the reasons stipulated in Article 49, Paragraph 1 of the GDPR, and other cases where legality is ensured.

(2) Transfer of data from a country that applies the GDPR or Japan to a country other than Japan

Personal data may be transferred from overseas offices or corporations in countries that are subject to the GDPR to other overseas offices (excluding overseas offices in countries that are subject to the GDPR), to the Malaysia school, or to third countries that are not subject to the GDPR, only when the adequacy decision has been granted based on the personal information protection system of each country, when it is stipulated in the SDPC, when it falls under the circumstances stipulated in Article 49, Paragraph 1 of the GDPR, or when other measures are taken to ensure the lawfulness of the transfer.

14 Supplementary Rules

Personal data transferred in accordance with the main clause of item 1 of the preceding paragraph will be handled in accordance with this Privacy Policy and the provisions of Japanese laws, guidelines and supplementary rules concerning the protection of personal information.

15 Security Measures

As controller, the Corporation shall establish sufficient technical and organizational security measures concerning the protection of personal data.

16 General Provisions

The Corporation may revise this privacy policy according to its guidelines, based on laws and regulations. However, the Corporation will not use personal data collected with the consent of data subjects for changed purposes without obtaining the consent of the data subject in question.

Note

This Determination shall be effective as of February 4, 2021.

Note(March 24, 2022)

This Determination shall be effective as of March 23, 2022.

Note(May 31, 2022)

This Determination shall be effective as of May 31, 2022.

Note(March 6, 2025)

This Determination shall be effective as of April 1, 2025.